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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

of

(Use as many sheets as necessary)

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Complete if Known 10/597,723 Application Number § 371 Date May 16, 2007 First Named Inventor Ralf DUNKEL Art Unit 1752 **Examiner Name** To Be Assigned 2400.0680000/VLC/L-Z Attorney Docket Number

			U.S. PATENT DO	CUMENTS	<u> </u>	
Examiner	Examiner Cite No.1	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,	
initials		Number-Kind Code <sup>2 (If Known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
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Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
					Figures Appear	T <sup>6</sup>
	FP1	DE 2 006 472 A	08/27/1970	Uniroyal Ltd.		Abs
		DE 2 409 011 A	09/05/1974	Kumiai Chem Ind. Co.,		Abs
	FP2			Ltd.		
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	FP3			Ltd.		
	FP4	EP 0 545 099 A2	06/09/1993	BASF Aktiengesellschaft		Abs
	FP5	EP 0 591 699 A1	04/13/1994	BASF Aktiengesellschaft		Abs
	FP6	JP 8-92223 A	04/09/1996	Mitsui Toatsu Chemicals		Abs
	FP7	JP 8-176112 A	07/09/1996	Mitsui Toatsu Chem Inc.		Abs
-	FP8	WO 96/37494 A1	11/28/1996	Zeneca Limited		
	FP9	WO 98/25923 A1	06/18/1998	Zeneca Limited		

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Substitute	for form	1449/PT	0		Complete if Known		
					Application Number	10/597,723	
INFO	RMAT	TION I	DISCLOS	SURE	§ 371 Date	May 16, 2007	
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					Examiner Name	To Be Assigned	
Sheet	2	of	2		Attorney Docket Number	2400.0680000/VLC/L-Z	

Examiner	Cite	T	U.S. PATENT DOC	Name of Patentee or	Pages, Columns	Linna
Initials*	No.1	Document Number  Number-Kind Code <sup>2 (If Known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passage	
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		JP 10-251240 A	09/22/1998	Mitsui Chem Inc.; Mitsui Petrochem Ind. Co., Ltd.;		Abs
	FP10 FP11	JP 2001-302605 A	10/31/2001	Mitsui Toatsu Chem Inc. Sumitomo Chem. Co., Ltd.		Abs
	FP12	EP 0 824 099 B1	11/07/2001	Mitsui Chemicals, Inc.		AUS
	FP13	EP 0 737 682 B1	01/09/2002	Mitsui Chemicals, Inc.		
	FP14	WO 02/38542 A1	05/16/2002	Syngenta Participations AG		
	FP15	EP 0 589 301 B1	06/26/2002	BASF Aktiengesellschaft		Abs
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53396v1	FP16 FP17	WO 02/059086 A1 WO 03/010149 A1	08/01/2002	AG  Bayer Aktiengesellschaft		

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STATEMENT BY APPLICANT				First Named Inventor	Ralf DUNKEL	
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Sheet	1	of	2	Attorney Docket Number	2400.0680000/VLC/L-Z	

<del></del>		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	NPL1	Corey, E.J., "Studies on the Asymmetric Synthesis of α-Amino Acids. II. New System for Highly Specific Asymmetric Synthesis with Conservation of the Chiral Reagent," J. Am. Chem. Soc. 92:2488-2501, The American Chemical Society (1970)	
	NPL2	Dialog File 351 Accession No. 297668, Derwent WPI English language abstract for DE 2 006 472 A (listed as document FP1 on accompanying form PTO/SB/08A)	
	NPL3	Dialog File 351 Accession No. 660648, Derwent WPI English language abstract for DE 2.409 011 A (listed as document FP2 on accompanying form PTO/SB/08A)	
	NPL4	Dialog File 351 Accession No. 1525166, Derwent WPI English language abstract for JP 53-72823 A (listed as document FP3 on accompanying form PTO/SB/08A)	
	NPL5	Dialog File 351 Accession No. 6611019, Derwent WPI English language abstract for EP 0 545 099 A2 (listed as document FP4 on accompanying form PTO/SB/08A)	
	NPL6	Dialog File 351 Accession No. 6720671, Derwent WPI English language abstract for EP 0 591 699 A1 (listed as document FP5 on accompanying form PTO/SB/08A)	
_	NPL7	Dialog File 351 Accession No. 7617971, Derwent WPI English language abstract for JP 8-92223 A (listed as document FP6 on accompanying form PTO/SB/08A)	
	NPL8	Dialog File 351 Accession No. 7905153, Derwent WPI English language abstract for JP 8-176112 A (listed as document FP7 on accompanying form PTO/SB/08A)	
	NPL9	Dialog File 351 Accession No. 9058768, Derwent WPI English language abstract for JP 10-251240 A (listed as document FP10 on accompanying form PTO/SB/08A)	
	NPL10	Dialog File 351 Accession No. 11196304, Derwent WPI English language abstract for JP 2001302605 A (listed as document FP11 on accompanying form PTO/SB/08A)	

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INFORM	IATION [	DISC	LOSURE	§ 371 Date	May 16, 2007	
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NPL11	Dialog File 351 Accession No. 6970179, Derwent WPI English language abstract for EP 0 589 301 A1 (listed as document FP15 on accompanying form PTO/SB/08A)	
NPL12	International Search Report for International Application No. PCT/EP2005/000608, European Patent Office, Netherlands, mailed on June 20, 2005	
NPL13	International Preliminary Report on Patentability for International Application No. PCT/EP2005/000608, European Patent Office, Netherlands, issued on August 07, 2006	
	NPL11	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published  Dialog File 351 Accession No. 6970179, Derwent WPI English language abstract for EP 0 589 301 A1 (listed as document FP15 on accompanying form PTO/SB/08A)  International Search Report for International Application No. PCT/EP2005/000608, European Patent Office, Netherlands, mailed on June 20, 2005  International Preliminary Report on Patentability for International Application No. PCT/EP2005/000608, European Patent Office, Netherlands, issued on

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